

Bylaw 301(l)(vi) Attestation

Please sign the attestation and email to National Futures Association at:
Proficiency@nfa.futures.org.

In compliance with NFA Bylaw 301(l), each individual seeking approval as an FCM, IB, CPO or CTA Member swap firm or a swap associated person of an FCM, IB, CPO or CTA Member must attest to the following:

I, _____, have successfully completed NFA's Swaps Proficiency Requirements. Since the date that I successfully completed NFA's Swaps Proficiency Requirements, there has been no period of two consecutive years during which I was not employed by an SD (or its affiliated entity) Member of NFA.

By signing below, you are attesting that the statement above is true and correct.

Signature

Date