

3001
 Does the firm operate a Dealing Desk execution model (Firm acts as the counterparty to the customer by taking the other side of the customer's trade and manages this risk) for off-exchange retail forex transactions?

 Yes
 No

3002
 Does the firm operate a straight-through processing model (Firm acts as the counterparty to the customer by taking the other side of the customer's trade and manages its risk by establishing a position with an independent liquidity provider without passing its orders through a dealing desk) for off-exchange retail forex transactions?

 Yes
 No

3003
 Does the firm act as counterparty in forex swaps transactions with registered Swap Dealers?

 Yes
 No

MANAGE RELATIONSHIPS

NFA ID	Name	Start Date
No Data		

What is the approximate percentage of customer accounts that pay round-turn commissions, fees and other charges within the following ranges:

Accounts Charged:	None	Up to 10%	11-25%	26-49%	50-75%	76-99%	100%
\$.01-\$49	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
\$50-\$99	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
\$100+	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Help Text

Ensure the sum of percentages of customers that pay round-turn commissions, fees, and other charges within the stated ranges are within mathematical bounds. The firm must select a percentage for each of the ranges, and ensure the total percentage does not exceed 100%.